

# RegData user guide



## Uploading an XBRL file

**XBRL** (eXtensible Business Reporting Language) is a global framework for exchanging business information. This user guide explains how to make an XBRL web upload on RegData. XBRL submission method is only used by firms that are required to submit **COREP**, **FINREP** and/or **PRA** data items.

## Overview of CRD IV reporting

The Capital Requirements Directives (CRD) and Capital Requirements Regulation (CRR) make up the 'CRD IV'.

CRD IV introduced:

- Enhanced requirements for quality and control of capital
- A basis for new liquidity and leverage requirement
- New rules for counterparty risks
- New macro prudential standards (including a countercyclical capital buffer and capital buffers for systematically important institutions)

CRD IV also made changes to rules on corporate governance and remuneration and introduced **COREP** and **FINREP** reporting requirements.

## Overview of user guide

This guide explains how to:

- Select a data item for an XBRL upload (the guide covers how to do this via the **Reporting Schedule** or the **Upload Data** option)
- Confirm successful upload
- Submit an XBRL after validation

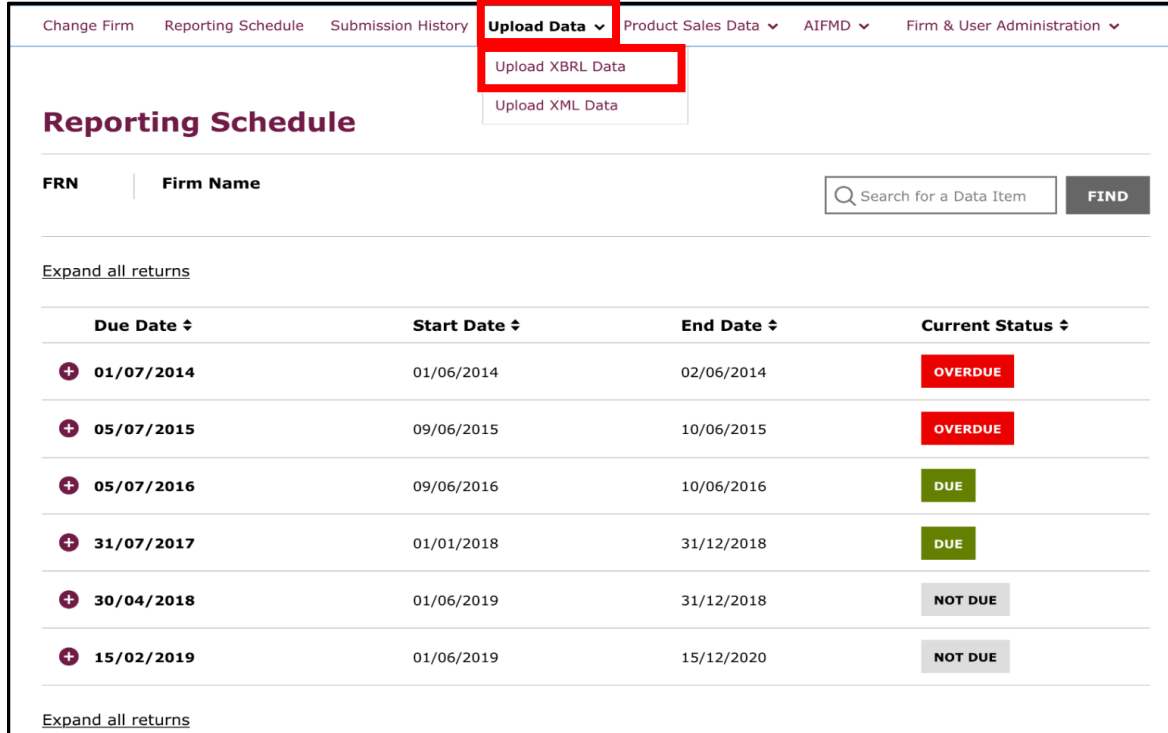
## 1. Selecting a data item via the Reporting Schedule

From your reporting schedule, select the relevant data item you wish to make an XBRL upload for and click **UPLOAD DATA**.

Due Date ⇅	Start Date ⇅	End Date ⇅	Current Status ⇅
☰ 02/02/2021	01/02/2021	01/02/2021	<b>OVERDUE</b>
Data Item		Completion Status	
<input type="radio"/> COR001a COREP Own Funds ⓘ		Draft	
<input type="radio"/> COR001b COREP Leverage Ratio ⓘ		Failed Validation	
<input type="radio"/> COR005 Asset Encumbrance (UK consolidation group) ⓘ		Failed Validation	
<input checked="" type="radio"/> COR009a COREP SBP Credit Risk (RFB Sub-group) ⓘ		Failed Validation	
<input type="radio"/> COR009b COREP SBP Market Risk (solo-consolidated) ⓘ		No Data	
<input type="radio"/> COR012 COREP ALMM ⓘ		No Data	
<input type="radio"/> COR013 Resolution ⓘ		No Data	
<b>UPLOAD DATA</b>			

## 2. Selecting a data item via the Upload Data option

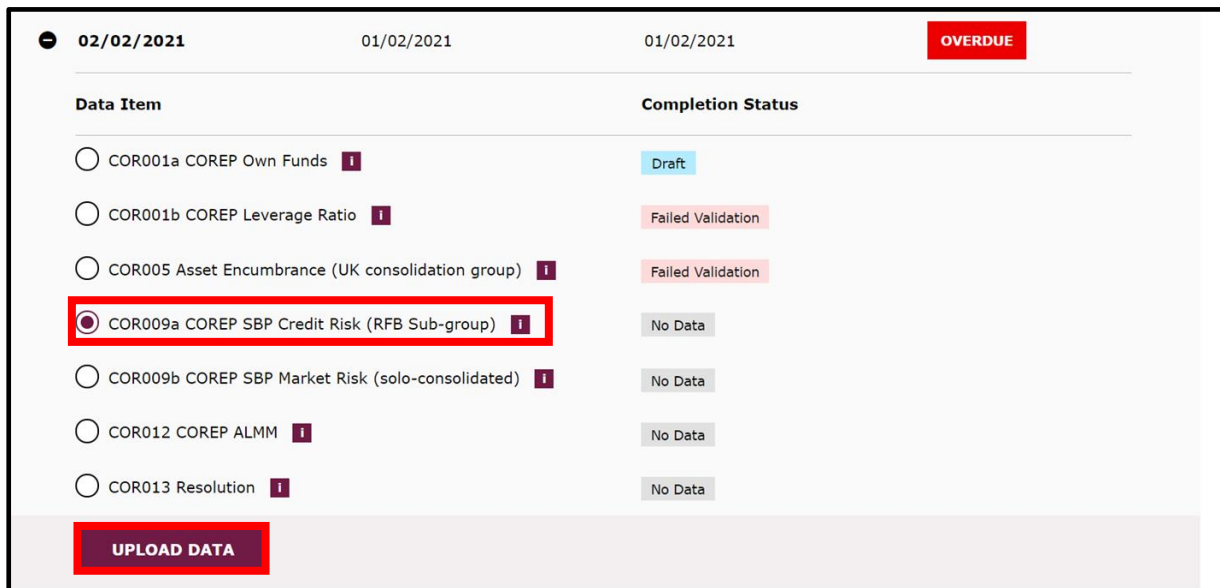
You can also select a single data item for an XBRL upload via the Upload Data option. At the top of the screen, navigate to the **Upload Data** drop-down menu and select **Upload XBRL Data**.



The screenshot shows the 'Reporting Schedule' page. At the top, there is a navigation bar with several dropdown menus: 'Change Firm', 'Reporting Schedule', 'Submission History', 'Upload Data', 'Product Sales Data', 'AIFMD', and 'Firm & User Administration'. The 'Upload Data' menu is open, showing two options: 'Upload XBRL Data' and 'Upload XML Data'. Below the navigation bar, there is a search bar with the text 'Search for a Data Item' and a 'FIND' button. The main content area is a table with the following columns: 'Due Date', 'Start Date', 'End Date', and 'Current Status'. The table contains six rows of data, each with a plus sign icon to its left. The 'Current Status' column contains red 'OVERDUE' labels, green 'DUE' labels, and grey 'NOT DUE' labels. At the bottom of the table, there is a link that says 'Expand all returns'.

Due Date	Start Date	End Date	Current Status
01/07/2014	01/06/2014	02/06/2014	OVERDUE
05/07/2015	09/06/2015	10/06/2015	OVERDUE
05/07/2016	09/06/2016	10/06/2016	DUE
31/07/2017	01/01/2018	31/12/2018	DUE
30/04/2018	01/06/2019	31/12/2018	NOT DUE
15/02/2019	01/06/2019	15/12/2020	NOT DUE

Select the single data item you want to upload an XBRL file for, then click on **UPLOAD DATA**.



The screenshot shows a list of data items with their completion status. The list is organized into two columns: 'Data Item' and 'Completion Status'. The 'Data Item' column contains several items, each with a radio button to its left. The 'Completion Status' column contains the status for each item. The item 'COR009a COREP SBP Credit Risk (RFB Sub-group)' is selected, indicated by a red box around its radio button. At the bottom of the list, there is a red button labeled 'UPLOAD DATA'.

Data Item	Completion Status
<input type="radio"/> COR001a COREP Own Funds	Draft
<input type="radio"/> COR001b COREP Leverage Ratio	Failed Validation
<input type="radio"/> COR005 Asset Encumbrance (UK consolidation group)	Failed Validation
<input checked="" type="radio"/> COR009a COREP SBP Credit Risk (RFB Sub-group)	No Data
<input type="radio"/> COR009b COREP SBP Market Risk (solo-consolidated)	No Data
<input type="radio"/> COR012 COREP ALMM	No Data
<input type="radio"/> COR013 Resolution	No Data

Once you have selected your data item, the steps on how to **Upload, Validate and Submit your Data** is the same for both upload methods.

### 3. Uploading data

In the Upload Data dialogue box, click on **Click here to choose a file...**

Select the file you wish to upload. You will need to ensure that the file you are submitting is the correct format and size.

#### Upload XBRL Data

- Only a single XBRL or GZIP file can be selected for upload
- The maximum file size permitted is 10MB

Click here to choose a file...

UPLOAD FILE

Once this is done click **UPLOAD FILE**.

#### Upload XBRL Data

- Only a single XBRL or GZIP file can be selected for upload
- The maximum file size permitted is 10MB

Sampledata.xbrl

UPLOAD FILE

The file will now be scanned for any **malware** and **errors**. If any viruses are detected, you will receive an email. You will need to resolve this and reupload your data either through your **Reporting Schedule** or **Upload Data Option** (as shown earlier). If no malware is detected your file will then undergo validation. Click **CLOSE**.

#### Upload XBRL Data

✓ **COR009a\_CON - error\_V2.0.xbrl** was successfully uploaded.


Your file will be checked for malware and then undergo validation. We will send you a confirmation email once this has been completed. You can now close this window.

CLOSE

Some of the common errors you may encounter as part of the validation process, can include the following:

If you have already uploaded a XBRL file for the data item, you will not be able to reupload until that data item has been validated.


### Upload XBRL Data

 Unable to process this submission - another Return is currently being processed as a result of an earlier submission - please try again later.

**CLOSE**

If the file you have uploaded is not in the correct format you will need to retry to upload a compatible file.


### Upload XBRL Data

 The file you are attempting to upload is not in the correct format. The allowed formats are .xbrl or .xbrl.gz

**CLOSE** **RETRY**

Upon successful upload, you will receive a confirmation like the one below. You will need to wait up to an hour for the file to be validated against relevant filing rules. You will receive an email once this has been done. Click **CLOSE**.

### Upload XBRL Data

 **Sampledate.xbrl** was successfully uploaded.

Your XBRL file will now be validated against relevant filing rules. Dependant on how many validations we are completing right now, this validation could take up to 1 hour to complete. During this time the status of the item on your Reporting Schedule will show as Draft. We will send you a notification email once our validation checks have completed. You can now close this message.

**CLOSE**

## 4. Submitting data

Once you have received an email you can review any **validation errors/warnings** from your schedule.

Where a data item name is underlined, click into it to review any validation errors (these will need to be resolved prior to submission) or warnings (you can still submit your COREP, FINREP or PRA data item with warnings).

02/02/2021		01/02/2021	01/02/2021	OVERDUE
<input type="checkbox"/> Data Item			Completion Status	Attachment
<input type="checkbox"/> COR001a COREP Own Funds <b>i</b>			Draft	
<input type="checkbox"/> <u>COR001b COREP Leverage Ratio</u> <b>i</b>			Failed Validation	
<input type="checkbox"/> <u>COR005 Asset Encumbrance (UK consolidation group)</u> <b>i</b>			Failed Validation	
<input type="checkbox"/> <u>COR009a COREP SBP Credit Risk (RFB Sub-group)</u> <b>i</b>			Failed Validation	
<input type="checkbox"/> COR009b COREP SBP Market Risk (solo-consolidated) <b>i</b>			No Data	
<input type="checkbox"/> COR012 COREP ALMM <b>i</b>			No Data	
<input type="checkbox"/> COR013 Resolution <b>i</b>			No Data	

Errors occur when the provided data fails to meet the blocking validation rules set out in the taxonomy. **You will need to resolve this and reupload your data** either through your **Reporting Schedule** or **Upload Data** (as shown earlier).

Reporting Information		
Reporting Period	Due Date	Reporting Basis
01/02/2021 to 01/02/2021	02/02/2021	Rfb Sub-Group
<b>⚠ 3 Validation Errors Found</b>		
Rule ID	Message	
Validation	The XBRL instance fails to satisfy: The period end date in 'xbrli:instant' in the uploaded XBRL does not match the reporting period end date of this data item.	
Validation	The XBRL instance fails to satisfy: The content in 'xbrli:identifier' is in an incorrect format. A 6 or 7-digit Firm Reference Number is expected, e.g. 123456.	
Validation	The XBRL instance fails to satisfy: The XBRL instance contains a Firm Reference Number or Legal Entity Identifier which does not match that of this firm.	

Warnings occur when the provided data fails to meet non-blocking validation rules set out in the taxonomy (**data with warnings will be accepted**, but firms should investigate the cause and seek to resolve them).

Reporting Information

<b>Reporting Period</b>	<b>Due Date</b>	<b>Reporting Basis</b>
01/02/2021 to 01/02/2021	02/02/2021	Rfb Sub-Group

A spreadsheet containing the data and further information on the warnings generated can be downloaded by clicking the 'DOWNLOAD WARNINGS' button.

↓ DOWNLOAD WARNINGS

⚠ 4 Warning(s)

Rule ID	Message
eba_v09315_m	The XBRL instance fails to satisfy: v09315_m: [C 111.00 (All rows)] c0400 <= c0040 FAILS because 6743000 <= 635000 is not true
eba_v6196_m	The XBRL instance fails to satisfy: v6196_m: [C 101.00 (All rows, c0130;0140;0150)] C 101.00 <= 1.5 FAILS because 1.6 <= 1.5 is not true
FCA Filing Rule 7.3	The XBRL instance fails to satisfy: Context with ID c1 has a LEI as identifier scheme, but the value 202057 is not a valid LEI code.
EBA Filing Rule 2.26	The XBRL instance fails to satisfy: XML Document does not have an XML Processing Instruction at the beginning of the file.

If there are no validation errors or they have been resolved, the data item will have a **'Ready to Submit'** status shown in your reporting schedule. Tick the data item and click **'SUBMIT DATA'**.

☰ 02/02/2021
01/02/2021
01/02/2021
OVERDUE

	Data Item	Completion Status	Attachment
<input type="checkbox"/>	COR001a COREP Own Funds <span style="font-size: 0.8em; color: red;">i</span>	Draft	
<input type="checkbox"/>	<u>COR001b COREP Leverage Ratio</u> <span style="font-size: 0.8em; color: red;">i</span>	Failed Validation	
<input type="checkbox"/>	<u>COR005 Asset Encumbrance (UK consolidation group)</u> <span style="font-size: 0.8em; color: red;">i</span>	Failed Validation	
<input checked="" type="checkbox"/>	<u>COR009a COREP SBP Credit Risk (RFB Sub-group)</u> <span style="font-size: 0.8em; color: red;">i</span>	Ready to Submit	
<input type="checkbox"/>	COR009b COREP SBP Market Risk (solo-consolidated) <span style="font-size: 0.8em; color: red;">i</span>	No Data	
<input type="checkbox"/>	COR012 COREP ALMM <span style="font-size: 0.8em; color: red;">i</span>	No Data	
<input type="checkbox"/>	COR013 Resolution <span style="font-size: 0.8em; color: red;">i</span>	No Data	

UPLOAD DATA

SUBMIT DATA

↓ DOWNLOAD ▾

You will need to review the onscreen declaration and select '**SUBMIT DATA**' to submit or '**CANCEL**' to cancel the submission.

## Submission Confirmation

**You are about to submit COR009a.**

SUP16.3.11R requires a firm to submit returns containing all the information required. Knowingly or recklessly giving the FCA or PRA information which is false or misleading in a material particular may be a criminal offence (sections 398 and 400 of the Financial Services and Markets Act 2000). Any additional information of relevance should be provided by letter direct to the appropriate Regulator.

Do you wish to proceed?

After you have submitted your data item you can view the submission in '**Submission History**'.